

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Woodruff et al.)
Serial No.:) Examiner:
Filing Date:) Art Unit:
)

Title: ZERO PREMIUM EQUITY PARTICIPATING SECURITIES

INFORMATION DISCLOSURE STATEMENT

Pittsburgh, Pennsylvania 15222

Commissioner for Patents
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Dear Sir:

Applicants, in accordance with their duty of disclosure pursuant to 37 C.F.R. § 1.56, hereby advise the United States Patent and Trademark Office of the references listed on the accompanying form PTO/SB/08A. Copies of the cited references are enclosed.

Applicants note that although the cited references may be relevant to the examination of the above-referenced application, under 37 C.F.R. § 1.97(h), the filing of this *Information Disclosure Statement* "shall not be construed to be an admission that the information cited in the statement is, or is considered to be, material to patentability as defined in § 1.56(b)."

Respectfully submitted,

Date: Oct. 3, 2003



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				Application Number	
				Filing Date	
				First Named Inventor	Woodruff
				Art Unit	
				Examiner Name	
Sheet	1	of	1	Attorney Docket Number	030675

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ²
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